



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Norikazu Endo, Benjamin K. Reaves, Babak Hodjat, Kanae Ishii,
Makoto Kurahashi, and Masahiko Funaki

APPLICATION NO.: 10/728,600

FILING DATE: December 4, 2003

TITLE: Shortcut Names For Use In A Speech Recognition System

EXAMINER: Not Yet Known

GROUP ART UNIT: 2644

ATTY. DKT. NO.: 23230-08141

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: April 29, 2005

By: 

Jae Won Song, 37 C.F.R. §10.9(b)
[now 37 C.F.R. §11.9(b)]

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

A concise explanation in accordance with 37 CFR §1.98(a)(3) of the relevance of the cited references that are not in the English language are believed to be set forth in the (i) English abstract accompanying each of the non-English references, (ii) the International Search Report for International Application No. PCT/JP2004/018447, dated March 22, 2005, a copy of which is enclosed herewith, and (iii) the Written Opinion of the International Searching Authority for International Application No. PCT/JP2004/018447, dated March 22, 2005, a copy of which is enclosed herewith.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference, in

this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Supplemental Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of

allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:

- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR §

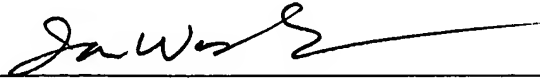
1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

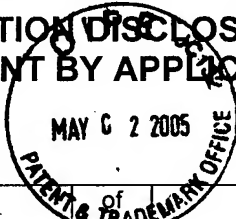
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
NORIKAZU ENDO ET AL.

Dated: April 29, 2005

By: 
Jae Won Song, 37 C.F.R. § 10.9(b)
[now 37 C.F.R. §11.9(b)]
Fenwick & West LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (650) 335-7164
Fax.: (650) 938-5200

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		Application No.	10/728,600
		Filing Date	December 4, 2003
		First Named Inventor	Norikazu Endo
		Art Unit	2644
		Examiner Name	Not Yet Known
		Attorney Docket Number	23230-08141
Sheet	1 of 2		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		JP 2004-106797 A	04-08-2004	Fujitsu Ten Limited et al.	
		JP 2004-106797 A (English Abstract)	04-08-2004	Fujitsu Ten Limited et al.	
		JP 2003-5897 A	01-08-2003	Alpine Electronics Inc.	
		JP 2003-5897 A (English Abstract)	01-08-2003	Alpine Electronics Inc.	
		JP 2002-123290 A	04-26-2002	Pioneer Electronic Corporation	
		JP 2002-123290 A (English Abstract)	04-26-2002	Pioneer Electronic Corporation	
		JP 2002-5680 A	01-09-2002	Clarion Co., Ltd.	
		JP 2002-5680 A (English Abstract)	01-09-2002	Clarion Co., Ltd.	
		JP 2001-216129 A	08-10-2001	Denso Corporation	
		JP 2001-216129 A (English Abstract)	08-10-2001	Denso Corporation	
		JP 2001-27540 A	01-30-2001	Fujitsu Ten Limited	
		JP 2001-27540 A (English Abstract)	01-30-2001	Fujitsu Ten Limited	
		JP 2000-322088 A	11-24-2000	Hitachi Ltd.	
		JP 2000-322088 A (English Abstract)	11-24-2000	Hitachi Ltd.	
		JP 2000-259180 A	09-22-2000	NEC Corporation	
		JP 2000-259180 A (English Abstract)	09-22-2000	NEC Corporation	
		JP 2000-122685 A	04-28-2000	Denso Corporation	
		JP 2000-122685 A (English Abstract)	04-28-2000	Denso Corporation	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	10/728,600
				Filing Date	December 4, 2003
				First Named Inventor	Norikazu Endo
				Art Unit	2644
				Examiner Name	Not Yet Known
Sheet	2	of	2	Attorney Docket Number	23230-08141

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		JP 2000-29585 A	01-28-2000	Canon Inc.	
		JP 2000-29585 A (English Abstract)	01-28-2000	Canon Inc.	
		JP 9-113299 A	05-02-1997	Sony Corporation	
		JP 9-113299 A (English Abstract)	05-02-1997	Sony Corporation	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶	
		International Search Report for International Application No. PCT/JP2004/018447, dated March 22, 2005.		
		Written Opinion of the International Searching Authority for International Application No. PCT/JP2004/018447, dated March 22, 2005.		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.